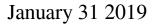


## **Certifying attack resistance of convolutional neural networks**



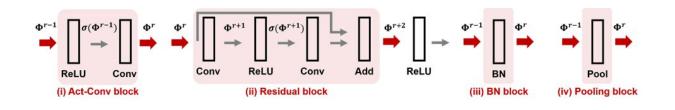


Figure 1. CNN-Cert supports many popular modules and layer operations in convolutional neural networks. Credit: IBM

When shopping for a watch, you may notice its water resistance rating, which indicates that the watch is warranted to be waterproof to a certain level. What about your neural network? Can one ensure a neural network is "attack proof", meaning that its functionality is robust against adversarial perturbations? If so, how can this be quantified with an attack resistance number? At AAAI 2019, our group of researchers from MIT and IBM Research proposes an efficient and effective method for certifying attack resistance of convolutional neural networks to given input data. This paper is selected for oral presentation at AAAI 2019 (January 30, 2:00-3:30 pm @ coral 1).

Current deep <u>neural network</u> models are known to be vulnerable to adversarial perturbations. A carefully crafted yet small <u>perturbation</u> to input data could easily manipulate the prediction of the model output,



including machine learning tasks such as object recognition, speech translation, image captioning, and text classification, to name a few. A lack of robustness to adversarial perturbations incurs new challenges in AI research and may impede our trust in AI systems.

Given a neural <u>network</u> and considering an adversarial threat model in which the attack strength is characterized by the Lp norm of the perturbation, for any data input, its adversarial robustness can be quantified as the minimal attack strength required to alter the model prediction (see Figure 1 in the previous post for a visual illustration). Here, an attack-proof robustness certificate for an input specifies an attack strength  $\varepsilon$  and offers the following guaranteed attack resistance: under the norm-bounded threat model, no <u>adversarial perturbations</u> can alter the prediction of the input if their attack strength is smaller than  $\varepsilon$ . In other words, larger  $\varepsilon$  means the input is more robust. This robustness certification can be crucial in security-critical or cost-sensitive AI applications requiring high precision and reliability, such as autonomous driving systems.

Our proposed method, CNN-Cert, provides a general and efficient framework for certifying the level of adversarial robustness of convolutional neural networks to given input data. Our framework is general: we can handle various architectures including convolutional layers, max-pooling layers, batch normalization layer, residual blocks, as well as general activation functions such as ReLU, tanh, sigmoid and arctan. Figure 1 shows some commonly-used <u>building blocks</u> considered in our CNN-Cert framework. The key technique in CNN-Cert is deriving explicit network output bound by considering the input/output relations of each building block, marked as red arrows. The activation layer can be general activations other than ReLU. Our approach is also efficient—by exploiting the special structure of convolutional layers, we achieve up to 17 and 11 times of speed-up compared to the state-of-theart certification algorithms and 366 times of speed-up compared to a



standard dual-LP approach while our method obtains similar or even better attack <u>resistance</u> bounds.

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