

## Earth and space science missions have fewer risks if conducted by a single government agency: report

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Earth and space science missions developed and implemented by federal agencies in collaboration typically result in additional complexity and cost and increased risks from divided responsibilities and accountability, says a new report from the National Research Council. Federal agencies should not partner in conducting space and earth science missions unless there is a compelling reason to do so and clear criteria are met in advance.

"A common <u>misperception</u> among policymakers and individual agencies is that collaboration on these missions will save money or somehow boost capabilities," said D. James Baker, director of the global carbon measurement program at the William J. Clinton Foundation and co-chair of the committee that wrote the report. "However, multiagency partnerships generally have just the opposite effect and drive up overall mission costs because of schedule delays, added levels of management, and redundant administrative processes."

The committee examined case studies from previous domestic and international missions, received briefings from several agencies, and drew upon committee members' own experiences to reach its conclusions. While there are varying amounts of cooperation among agencies, the report says that generally the more interdependent agencies are for mission success, the higher the degree of complexity and risk associated with the project.



The report notes that while an agency will often enter into a partnership because its individual share of the mission is made more affordable, the risks involved in meeting schedules and performance objectives are typically underestimated. International collaboration suffers from the same increase in cost and complexity; however, the partnership can decrease U.S. costs because a foreign government absorbs some of the expenses. The report also notes that international collaborations typically receive much more planning upfront to define clear roles and responsibilities consistent with each entity's strategic plans.

"In many cases, an individual agency would do well to consider alternatives to full partnerships and instead buy specific services or coordinate spaceflight data from other agencies," said Daniel N. Baker, director of the Laboratory for Atmospheric and Space Physics at the University of Colorado, Boulder and co-chair of the committee. "However, if full collaboration is deemed to be warranted, then the agencies must take special care to ensure that disciplined attention to systems engineering and best practices for project management are followed."

If the Office of Science and Technology Policy, Office of Management and Budget, or Congress wants to encourage a particular interagency research collaboration, then it should provide specific incentives and support for these missions such as protecting interagency projects or providing freedom to move necessary funds across appropriation accounts, the report says. There is a need for coordinated oversight of interagency collaboration; however, OMB and OSTP are not suited to day-to-day oversight. Some alternative governance mechanism may be required to facilitate accountable decision-making across multiple agencies.

The report recommends criteria that should be met by agencies to jointly pursue earth and space science missions. Partnerships should add



significant scientific value that could not be achieved by a single agency; utilize unique capabilities housed within an agency that are necessary for the success of a mission managed by another agency; help facilitate the transition from research to operations if these functions require a change in responsibility from one agency to another; or meet a compelling need such as building capacity at a cooperating agency. The report also recommends key elements to incorporate in every interagency collaboration.

The report also examines long-standing problems associated with partnership between NASA and the National Oceanic and Atmospheric Administration in support of climate research. It concurs with a previous Research Council <u>report</u> that recommends action by an executive branch entity above the agency-level to correct mismatches of authority and responsibility, inconsistent mandates, and budgets that are not well suited for emerging needs.

Provided by National Academy of Sciences

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